



How BEST to participate?

- **ADIGO Dial-in numbers:** <https://www.adigo.com/icann>
- **Zoom Dial-in numbers:** <https://icann.zoom.us/zoomconference>
- **Languages Available:** English, Français, Español, 中文, العربية, Русский, Português
- **Participation How-To Guide:** <https://69.schedule.icann.org/participation-tools>
- **Congress Rental Network Mobile App Download:** <https://urlgeni.us/ICANN-GAC>
 - **Token: ICANN-GAC**

If you want your COMMENTS/QUESTIONS to be read out:

- **Start your sentence with <QUESTION> and end it with <QUESTION>**
- **Start your sentence with <COMMENT> and end it with <COMMENT>**



Review our Expected Standards of Behavior when participating in ICANN Meetings.

Go to:

<http://go.icann.org/expected-standards>

Review the ICANN Community Anti-Harassment Policy when participating in ICANN Meetings.

Go to:

<http://go.icann.org/anti-harassment>



Do you have a question or concern for the ICANN Ombudsman?

Email ombudsman@icann.org to set up a meeting.



Work Stream 2 (WS2) Accountability GAC Implementation

Tuesday, 20 October 2020 at 10:30 UTC/12:30 CET

Session Leads:

Suada Hadzovic and Lina Rainiene, GAC HRIL WG Co-Chairs

Agenda

1. Update on Actions Since ICANN68

- Development of [GAC Tracking Tool](#) and Next Steps
- Feedback on Tool
- GAC Discussion of on Assessment and Implementation Options (e.g., Assignments and Volunteers)

2. Implementation Efforts

1. Update on Actions Since ICANN68

- ICANN68 Virtual Policy Forum, [GAC Communique](#) (CCWG-Accountability Work Stream 2 Implementation) 27 June 2020
- *The Cross Community Working Party on ICANN and Human Rights (CCWP-HR) presented a sample of the Human Rights Impact Assessment (HRIA) tool intended to help ICANN Supporting Organizations and Advisory Committees (including the GAC) to organize and track implementation of the various WS2 recommendations.*
- *The GAC Chair encouraged GAC members to express their interest in either volunteering to participate in cross community efforts or to contribute to specific GAC implementation efforts that impact GAC operations.*
- What has been happening since ICANN68?

1. Update on Actions Since ICANN68 - Development of GAC Tracking Tool

- Initial Staff research conducted to set baseline for potential GAC inventory of implementation recommendations and considerations - This effort produced a [Staff Identification Inventory document](https://tinyurl.com/y8fnkz48) - <https://tinyurl.com/y8fnkz48> - shared with GAC prior to ICANN67
- The Identification Inventory was then leveraged to create content of draft tracking tool - [GAC Tracking Tool on Implementation of WS2 Recommendations](#)

WS2 FINAL REPORT RECOMMENDATIONS		WS2 Implementation Assessment Report for Community	WS2 Implementation Assessment Report for ICANN Org/Board	Assessment of the Recommendation from a GAC perspective	GAC Rationale for Implementation	GAC Level of Implementation Impact/Efforts Needed	GAC Assigned Contributions/Outcomes	Status of GAC Implementation
		<u>Assessment Report</u>				High / Medium / Low	(e.g GOPE, HRIL, USRWG, GAC Leads, etc)	Complete, pending, in progress, not applicable
REC. 1/ IMPROVE DIVERSITY								
Defining Diversity	Recommendation 1.1							
	SO/AC/Groups should agree that the following seven key elements of diversity should be used as a common starting point for all diversity considerations within ICANN: - Geographic pr Regional Representation - Language - Gender - Age - Physical Disability - Diverse Skills - Stakeholder Group or Constituency		Besides the applicability of this recommendation to ICANN org, additional consideration is needed regarding ICANN org staff support to the respective SO/AC or other groups.	The GAC Operating Principle directly address diversity in two primary ways - geography and developmental status)	See GAC Operating Principle 21	High	HRIL WG / USRWG	Pending
Defining Diversity	Recommendation 1.2							
	Each SO/AC/Group should identify which elements of diversity are mandated in their charters or ICANN Bylaws and any other	The SO/AC or other groups will need to undertake an initial diversity assessment	Staff support is needed to support each diversity assessment, and to execute on each SO/AC or other group's objectives and strategies through tool		See GAC			

1. GAC Discussion of Assessment and Implementation

- [Summary report of the first Human Rights Impact Assessment for the ICANN organization](#), 15 May 2019
- [Designing a Human Rights Impact Assessment for ICANN's Policy Development Processes \(Trial HRIA for ICANN PDPs – Final Report\)](#)
October 2019
- The Cross Community Working Party on ICANN and Human Rights (CCWP-HR) [HRIA tool](#) presented to GAC 24 June 2020
- [Enhancing the Effectiveness of ICANN's Multistakeholder Model](#), 14 October 2020
- The [joint session of the ALAC and NCSG on Human Rights](#) held on 13 October 2020.

1. GAC Discussion of Assessment and Implementation

- Options for initial WS-2 Accountability recommendations implementation were identified at ICANN68 meeting.
- Options include (but are not limited to):
 - Case-by-case consideration of all recommendations by GAC leadership
 - Assessment and advice of relevant recommendations by HRIL WG
 - Assessment and advice of relevant recommendations by GOPE WG
 - Distribution of recommendations to be assessed by groups most qualified to assess and address (e.g., Chair-Vice Chairs, GAC WGs, and Support staff) - with oversight by GAC Leadership and final review and acceptance by full GAC.
- GAC Member input on options welcomed for Chair-Vice Chairs Consideration

2. Implementation Efforts

- ICANN68 Action Points:
 - GAC HRIL WG Co-Chairs to seek GAC volunteers to contribute in WS2 Recommendations implementation efforts that impact GAC operations.
 - GAC HRIL WG and GAC volunteers to consider working with CCWP-HR on building an implementation tool on substantial areas of GAC concern.

2. Implementation Efforts - Diversity

- The GAC Operating Principles Evolution (GOPE) Working Group

The purpose of the GOPE Working Group is to study, develop and make recommendations to the GAC membership for changes to the current GAC Operating Principles

- GAC OPERATING PRINCIPLES, ratified 16 June 2017

Principle 21

If the GAC moves to require additional officers other than the Chair, then five (5) Vice-Chairs shall be elected from among the Members. To the extent possible, the Vice-Chairs should appropriately reflect the geographic and development diversity of the membership. The Chair shall hold office for a term of two (2) years, renewable once. The Vice-Chairs shall hold office for a term of one (1) year and may be re-elected; however no person may serve as Vice-Chair for more than two consecutive terms.

Principle 52

The GAC may decide at any time to revise these Operating Principles or any part of them.

- GAC Working Group on Under-Served Regions

The GAC Working Group on Under-Served Regions (URWG) focuses on regions under-served by the DNS industry, and least developed economies and small island developing states. A particular focus is on under-served economies/countries from the Africa, Caribbean and Pacific (ACP) regions.

1.2. GAC Travel Support Rules - For public meetings where there are more requests for support than available slots, priority is accorded to GAC applicants from SIDS, LDCs and lower income countries. - the USRWG would propose the Travel Support Rules for endorsement by the GAC during ICANN69

2. Implementation Efforts - Diversity

- Rec 1. / Improve diversity, next steps:
 - Define key elements of (7) diversity to be used as a common starting point within the GAC – HRIL WG, USR WG (beginning 2021)
 - Identify which elements of diversity are/to be mandated in the GAC procedures – HRIL WG, GOPE WG (2021)
 - Plan initial assessment of their diversity including leadership based on the GAC Diversity Criteria - HRIL
 - Perform of the assessment and publish the results
 - Regular updates on diversity assessment (3 years)

2. Implementation Efforts - Human Rights Core Value

WS2 FINAL REPORT RECOMMENDATIONS	WS2 Implementation Assessment Report for Community	WS2 Implementation Assessment Report for ICANN Org/Board	Assessment of the Recommendation from a GAC perspective	GAC Rationale for Implementation	GAC Level of Implementation Impact/Efforts Needed	GAC Assigned Contributions/Outcomes	Status of GAC Implementation
	Assessment Report				High / Medium / Low	(e.g GOPE, HRIL, USRWG, GAC Leads, etc)	Complete, pending, in progress, not applicable
REC. 3/ FOI FOR HUMAN RIGHTS - HUMAN RIGHTS CORE VALUE (Annex 3)	<i>Considerations by the HR Sub-Group for ICANN and Community (CCWG Report, paragraph 24)</i>						
The policies and frameworks, if any, that ICANN needs to develop or enhance in order to fulfill its commitment [sic] to respect human rights.	"Each SO and AC should take the Core Value into consideration in its policy development or advisory role. It is up to each SO and AC, and ICANN the organization, to develop their own policies and frameworks to fulfill this Core Value. In doing so, the SOs and ACs, as well as ICANN the organization, should also take into account the requirement to balance the Core Values." (p.9 of Annex 3 WS2 Final Report)	The SO/AC or other groups will need to undertake an initial diversity assessment and from this determine objectives and strategies for achieving their diversity criteria, including reporting out on a regular basis. This work will require significant commitment by the SO/AC or other groups to both conduct the assessment and implement the next steps to meet the requirements of the recommendation. The SO/AC or other groups will need to consider what they wish to revise from current	Besides the applicability of this recommendation to ICANN org, additional consideration is needed regarding ICANN org staff support to the respective SO/AC or other groups. Staff support is needed to support each diversity assessment, and to execute on each SO/AC or other group's objectives and strategies through tool development and other means as identified by the SO/AC or other group. Reporting on the diversity criteria for each SO/AC or other group will also require ICANN org support. ICANN		High	HRIL WG	In progress
<i>Considerations by the</i>	<i>Recommendations</i>	<i>Recommendations</i>	<i>Recommendations</i>				

2. Implementation Efforts - Human Rights Core Value

WS2 FINAL REPORT RECOMMENDATIONS	WS2 Implementation Assessment Report for Community	WS2 Implementation Assessment Report for ICANN Org/Board	Assessment of the Recommendation from a GAC perspective	GAC Rationale for Implementation	GAC Level of Implementation Impact/Efforts Needed	GAC Assigned Contributions/Outcomes	Status of GAC Implementation
	<u>Assessment Report</u>				High / Medium/ Low	(e.g GOPE, HRIL, USRWG, GAC Leads, etc)	Complete, pending, in progress, not applicable
Consider how the interpretation and implementation of this Bylaw will interact with existing and future ICANN policies and procedures.	"Supporting Organizations could consider defining and incorporating Human Rights Impact Assessments (HRIAs) in their respective policy development processes. HRIAs should not consider particular human rights in isolation since they are universal, indivisible, interdependent, and interrelated. <i>Given the interrelated nature of Core Values, the Supporting Organizations could also consider other Core Values, as part of the balancing required by the Bylaws.</i> "	and practices in order to support a uniform approach to data collection and reporting across ICANN. Additional implementation considerations are: - The inclusion of the agreed upon diversity elements into processes for community work around reviews, recommendations, and policy development work (e.g. composition of CCWGs, review teams, policy working groups). - The development of a SO/AC or other group process for dealing with diversity-related complaints and issues may also be connected to the	ICANN org support will include general as well as specific support, such as legal regarding compliance with existing privacy laws. For Specific and Organizational Reviews and review teams, the agreed-upon elements of diversity will be important to reflect in the composition and work of the review team. This is an area ICANN org will coordinate with the community. ICANN org will also be responsible for supporting the Board in its diversity tracking and reporting. As it relates to diversity reporting for ICANN org, there are legal limitations based in privacy and employment laws regarding the setting of diversity	Not Directly Applicable to GAC Operations			Not applicable

WS2 FINAL REPORT RECOMMENDATIONS	WS2 Implementation Assessment Report for Community	WS2 Implementation Assessment Report for ICANN Org/Board	Assessment of the Recommendation from a GAC perspective	GAC Rationale for Implementation	GAC Level of Implementation Impact/Efforts Needed	GAC Assigned Contributions/Outcomes	Status of GAC Implementation
	<u>Assessment Report</u>				High / Medium/ Low	(e.g GOPE, HRIL, USRWG, GAC Leads, etc)	Complete, pending, in progress, not applicable
Consider how the interpretation and implementation of this Bylaw will interact with existing and future ICANN policies and procedures.	Advisory Committees could also consider similar measures defining and incorporating HRIAs in their respective processes. <i>When examining its operations, ICANN the organization could consider instruments such as HRIAs to assess its impact on human rights. However, this is up to ICANN the organization to develop and implement. The results of such HRIAs should be reflected in ICANN's annual reporting.</i>	connected to the Ombuds Recommendation 5.11 on the Ombuds involvement in any non-complaints work.	the setting of diversity goals and reporting on diversity that could impact ICANN org's implementation. These legal limitations are reflected in the WS2 Report. / The ICANN Board will need to undertake a review and then adoption of the uniform definitions for the elements of diversity for this recommendation. As it relates to the Board, consideration will need to be given as to the formal diversity requirements imposed by the Bylaws, such that additional diversity goals taken on through the implementation of this recommendation remain aligned with the Bylaws requirements.		Low	HRIL WG	Pending

2. Implementation Efforts - Human Rights Core Value

1. Rec 3. / Human Rights Core Value, essence:
 - SO should take the Core Value into consideration in its policy development process.
 - AC could also consider similar measures defining and incorporating HRIAs in their respective processes.
 - Consider what effect, if any, Bylaw will have on ICANN's consideration of advice given by the GAC.

2. Next steps:
 - HRIL WG continue to interact with The Cross Community Working Party on ICANN and Human Rights (CCWP-HR)
 - Involvement of the GAC Operating Principles Evolution (GOPE) Working Group
 - Call for volunteers



Thank You